



Office Use Only:  
 Corps action ID no. \_\_\_\_\_  
 DWQ project no. \_\_\_\_\_  
 Form Version 1.4 January 2009

## Pre-Construction Notification (PCN) Form

### A. Applicant Information

#### 1. Processing

1a. Type(s) of approval sought from the Corps:	Section 404 Permit	Section 10 Permit
1b. Specify Nationwide Permit (NWP) number:	or General Permit (GP) number:	
1c. Has the NWP or GP number been verified by the Corps?	Yes	No
1d. Type(s) of approval sought from the DWQ (check all that apply):		
401 Water Quality Certification – Regular	Non-404 Jurisdictional General Permit	
401 Water Quality Certification – Express	Riparian Buffer Authorization	
1e. Is this notification solely for the record because written approval is not required?	For the record only for DWQ 401 Certification: Yes                  No	For the record only for Corps Permit: Yes                  No
1f. Is payment into a mitigation bank or in-lieu fee program proposed for mitigation of impacts? If so, attach the acceptance letter from mitigation bank or in-lieu fee program.	Yes	No
1g. Is the project located in any of NC's twenty coastal counties. If yes, answer 1h below.	Yes	No
1h. Is the project located within a NC DCM Area of Environmental Concern (AEC)?	Yes	No

#### 2. Project Information

2a. Name of project:	
2b. County:	
2c. Nearest municipality / town:	
2d. Subdivision name:	
2e. NCDOT only, T.I.P. or state project no:	

#### 3. Owner Information

3a. Name(s) on Recorded Deed:	
3b. Deed Book and Page No.	
3c. Responsible Party (for LLC if applicable):	
3d. Street address:	
3e. City, state, zip:	
3f. Telephone no.:	
3g. Fax no.:	
3h. Email address:	

<b>4. Applicant Information (if different from owner)</b>	
4a. Applicant is:	Agent                      Other, specify:
4b. Name:	
4c. Business name (if applicable):	
4d. Street address:	
4e. City, state, zip:	
4f. Telephone no.:	
4g. Fax no.:	
4h. Email address:	
<b>5. Agent/Consultant Information (if applicable)</b>	
5a. Name:	
5b. Business name (if applicable):	
5c. Street address:	
5d. City, state, zip:	
5e. Telephone no.:	
5f. Fax no.:	
5g. Email address:	

<b>B. Project Information and Prior Project History</b>			
<b>1. Property Identification</b>			
1a. Property identification no. (tax PIN or parcel ID):			
1b. Site coordinates (in decimal degrees):	Latitude:	Longitude:	
1c. Property size:	acres		
<b>2. Surface Waters</b>			
2a. Name of nearest body of water to proposed project:			
2b. Water Quality Classification of nearest receiving water:			
2c. River basin:			
<b>3. Project Description</b>			
3a. Describe the existing conditions on the site and the general land use in the vicinity of the project at the time of this application:			
3b. List the total estimated acreage of all existing wetlands on the property:			
3c. List the total estimated linear feet of all existing streams (intermittent and perennial) on the property:			
3d. Explain the purpose of the proposed project:			
3e. Describe the overall project in detail, including the type of equipment to be used:			
<b>4. Jurisdictional Determinations</b>			
4a. Have jurisdictional wetland or stream determinations by the Corps or State been requested or obtained for this property / project (including all prior phases) in the past?	Yes	No	Unknown
	Comments:		
4b. If the Corps made the jurisdictional determination, what type of determination was made?	Preliminary	Final	
4c. If yes, who delineated the jurisdictional areas? Name (if known):	Agency/Consultant Company: Other:		
4d. If yes, list the dates of the Corps jurisdictional determinations or State determinations and attach documentation.			
<b>5. Project History</b>			
5a. Have permits or certifications been requested or obtained for this project (including all prior phases) in the past?	Yes	No	Unknown
5b. If yes, explain in detail according to "help file" instructions.			
<b>6. Future Project Plans</b>			
6a. Is this a phased project?	Yes	No	
6b. If yes, explain.			

<b>C. Proposed Impacts Inventory</b>						
<b>1. Impacts Summary</b>						
1a. Which sections were completed below for your project (check all that apply):						
Wetlands	Streams – tributaries	Buffers	Open Waters	Pond Construction		
<b>2. Wetland Impacts</b>						
If there are wetland impacts proposed on the site, then complete this question for each wetland area impacted.						
2a. Wetland impact number Permanent (P) or Temporary (T)	2b. Type of impact	2c. Type of wetland	2d. Forested	2e. Type of jurisdiction Corps (404,10) or DWQ (401, other)	2f. Area of impact (acres)	
W1						
W2						
W3						
W4						
W5						
W6						
<b>2g. Total Wetland Impacts:</b>						
2h. Comments:						
<b>3. Stream Impacts</b>						
If there are perennial or intermittent stream impacts (including temporary impacts) proposed on the site, then complete this question for all stream sites impacted.						
3a. Stream impact number Permanent (P) or Temporary (T)	3b. Type of impact	3c. Stream name	3d. Perennial (PER) or intermittent (INT)?	3e. Type of jurisdiction	3f. Average stream width (feet)	3g. Impact length (linear feet)
S1						
S2						
S3						
S4						
S5						
S6						
<b>3h. Total stream and tributary impacts</b>						
3i. Comments:						

<b>4. Open Water Impacts</b>								
If there are proposed impacts to lakes, ponds, estuaries, tributaries, sounds, the Atlantic Ocean, or any other open water of the U.S. then individually list all open water impacts below.								
4a. Open water impact number Permanent (P) or Temporary (T)	4b. Name of waterbody (if applicable)	4c. Type of impact			4d. Waterbody type	4e. Area of impact (acres)		
O1								
O2								
O3								
O4								
<b>4f. Total open water impacts</b>								
4g. Comments:								
<b>5. Pond or Lake Construction</b>								
If pond or lake construction proposed, then complete the chart below.								
5a. Pond ID number	5b. Proposed use or purpose of pond	5c. Wetland Impacts (acres)			5d. Stream Impacts (feet)			5e. Upland (acres)
		Flooded	Filled	Excavated	Flooded	Filled	Excavated	
P1								
P2								
<b>5f. Total:</b>								
5g. Comments:								
5h. Is a dam high hazard permit required?		Yes	No	If yes, permit ID no:				
5i. Expected pond surface area (acres):								
5j. Size of pond watershed (acres):								
5k. Method of construction:								
<b>6. Buffer Impacts (for DWQ)</b>								
If project will impact a protected riparian buffer, then complete the chart below. If yes, then individually list all buffer impacts below. If any impacts require mitigation, then you <b>MUST</b> fill out Section D of this form.								
6a. Project is in which protected basin?		Neuse	Tar-Pamlico	Catawba	Randleman	Other:		
6b. Buffer Impact number – Permanent (P) or Temporary (T)	6c. Reason for impact	6d. Stream name			6e. Buffer mitigation required?	6f. Zone 1 impact (square feet)	6g. Zone 2 impact (square feet)	
B1								
B2								
B3								
B4								
B5								
B6								
<b>6h. Total Buffer Impacts:</b>								
6i. Comments:								

<b>D. Impact Justification and Mitigation</b>		
<b>1. Avoidance and Minimization</b>		
1a. Specifically describe measures taken to avoid or minimize the proposed impacts in designing project.		
1b. Specifically describe measures taken to avoid or minimize the proposed impacts through construction techniques.		
<b>2. Compensatory Mitigation for Impacts to Waters of the U.S. or Waters of the State</b>		
2a. Does the project require Compensatory Mitigation for impacts to Waters of the U.S. or Waters of the State?	Yes	No
2b. If yes, mitigation is required by (check all that apply):	DWQ	Corps
2c. If yes, which mitigation option will be used for this project?	Mitigation bank Payment to in-lieu fee program Permittee Responsible Mitigation	
<b>3. Complete if Using a Mitigation Bank</b>		
3a. Name of Mitigation Bank:		
3b. Credits Purchased (attach receipt and letter)	Type: Type: Type:	Quantity: Quantity: Quantity:
3c. Comments:		
<b>4. Complete if Making a Payment to In-lieu Fee Program</b>		
4a. Approval letter from in-lieu fee program is attached.	Yes	
4b. Stream mitigation requested:	linear feet	
4c. If using stream mitigation, stream temperature:		
4d. Buffer mitigation requested (DWQ only):	square feet	
4e. Riparian wetland mitigation requested:	acres	
4f. Non-riparian wetland mitigation requested:	acres	
4g. Coastal (tidal) wetland mitigation requested:	acres	
4h. Comments:		
<b>5. Complete if Using a Permittee Responsible Mitigation Plan</b>		
5a. If using a permittee responsible mitigation plan, provide a description of the proposed mitigation plan.		

**6. Buffer Mitigation (State Regulated Riparian Buffer Rules) – required by DWQ**

6a. Will the project result in an impact within a protected riparian buffer that requires buffer mitigation?		Yes	No
6b. If yes, then identify the square feet of impact to each zone of the riparian buffer that requires mitigation. Calculate the amount of mitigation required.			
Zone	6c. Reason for impact	6d. Total impact (square feet)	6e. Required mitigation (square feet)
Zone 1			3 (2 for Catawba)
Zone 2			1.5
<b>6f. Total buffer mitigation required:</b>			
6g. If buffer mitigation is required, discuss what type of mitigation is proposed (e.g., payment to private mitigation bank, permittee responsible riparian buffer restoration, payment into an approved in-lieu fee fund).			
6h. Comments:			

<b>E. Stormwater Management and Diffuse Flow Plan (required by DWQ)</b>		
<b>1. Diffuse Flow Plan</b>		
1a. Does the project include or is it adjacent to protected riparian buffers identified within one of the NC Riparian Buffer Protection Rules?	Yes	No
1b. If yes, then is a diffuse flow plan included? If no, explain why.	Yes	No
<b>2. Stormwater Management Plan</b>		
2a. What is the overall percent imperviousness of this project?	%	
2b. Does this project require a Stormwater Management Plan?	Yes	No
2c. If this project DOES NOT require a Stormwater Management Plan, explain why:		
2d. If this project DOES require a Stormwater Management Plan, then provide a brief, narrative description of the plan:		
2e. Who will be responsible for the review of the Stormwater Management Plan?		
<b>3. Certified Local Government Stormwater Review</b>		
3a. In which local government's jurisdiction is this project?		
3b. Which of the following locally-implemented stormwater management programs apply (check all that apply):	Phase II NSW USMP Water Supply Watershed Other:	
3c. Has the approved Stormwater Management Plan with proof of approval been attached?	Yes	No
<b>4. DWQ Stormwater Program Review</b>		
4a. Which of the following state-implemented stormwater management programs apply (check all that apply):	Coastal counties HQW ORW Session Law 2006-246 Other:	
4b. Has the approved Stormwater Management Plan with proof of approval been attached?	Yes	No
<b>5. DWQ 401 Unit Stormwater Review</b>		
5a. Does the Stormwater Management Plan meet the appropriate requirements?	Yes	No
5b. Have all of the 401 Unit submittal requirements been met?	Yes	No



<b>F. Supplementary Information</b>		
<b>1. Environmental Documentation (DWQ Requirement)</b>		
1a. Does the project involve an expenditure of public (federal/state/local) funds or the use of public (federal/state) land?	Yes	No
1b. If you answered "yes" to the above, does the project require preparation of an environmental document pursuant to the requirements of the National or State (North Carolina) Environmental Policy Act (NEPA/SEPA)?	Yes	No
1c. If you answered "yes" to the above, has the document review been finalized by the State Clearing House? (If so, attach a copy of the NEPA or SEPA final approval letter.)  Comments:	Yes	No
<b>2. Violations (DWQ Requirement)</b>		
2a. Is the site in violation of DWQ Wetland Rules (15A NCAC 2H .0500), Isolated Wetland Rules (15A NCAC 2H .1300), DWQ Surface Water or Wetland Standards, or Riparian Buffer Rules (15A NCAC 2B .0200)?	Yes	No
2b. Is this an after-the-fact permit application?	Yes	No
2c. If you answered "yes" to one or both of the above questions, provide an explanation of the violation(s):		
<b>3. Cumulative Impacts (DWQ Requirement)</b>		
3a. Will this project (based on past and reasonably anticipated future impacts) result in additional development, which could impact nearby downstream water quality?	Yes	No
3b. If you answered "yes" to the above, submit a qualitative or quantitative cumulative impact analysis in accordance with the most recent DWQ policy. If you answered "no," provide a short narrative description.		
<b>4. Sewage Disposal (DWQ Requirement)</b>		
4a. Clearly detail the ultimate treatment methods and disposition (non-discharge or discharge) of wastewater generated from the proposed project, or available capacity of the subject facility.		

<b>5. Endangered Species and Designated Critical Habitat (Corps Requirement)</b>		
5a. Will this project occur in or near an area with federally protected species or habitat?	Yes	No
5b. Have you checked with the USFWS concerning Endangered Species Act impacts?	Yes	No
5c. If yes, indicate the USFWS Field Office you have contacted.		
5d. What data sources did you use to determine whether your site would impact Endangered Species or Designated Critical Habitat?		
<b>6. Essential Fish Habitat (Corps Requirement)</b>		
6a. Will this project occur in or near an area designated as essential fish habitat?	Yes	No
6b. What data sources did you use to determine whether your site would impact Essential Fish Habitat?		
<b>7. Historic or Prehistoric Cultural Resources (Corps Requirement)</b>		
7a. Will this project occur in or near an area that the state, federal or tribal governments have designated as having historic or cultural preservation status (e.g., National Historic Trust designation or properties significant in North Carolina history and archaeology)?	Yes	No
7b. What data sources did you use to determine whether your site would impact historic or archeological resources?		
<b>8. Flood Zone Designation (Corps Requirement)</b>		
8a. Will this project occur in a FEMA-designated 100-year floodplain?	Yes	No
8b. If yes, explain how project meets FEMA requirements:		
8c. What source(s) did you use to make the floodplain determination?		
Applicant/Agent's Printed Name	<hr/> Applicant/Agent's Signature <small>(Agent's signature is valid only if an authorization letter from the applicant is provided.)</small>	Date